



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

GARY P. COTE

Serial No. 09/899,029

Art Unit 3618

Filed: July 6, 2001

For: WHEELBARROW BRAKING SYSTEM

RESPONSE

To the Director of the Patent and Trademark Office Sir:

In response to the attached Notice to File Missing Parts of Nonprovisional Application dated August 21, 2001, kindly accept the attached Declaration for the above-identified application.

A check for \$65.00 is also attached to cover the government surcharge for filing missing parts.

Respectfully,

James C. Wray, Reg. No. 22,693

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August 28, 2001





PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it displays a valid OMB control number.

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TRANS	
AND 18 200 For FY 2	
o Stient fees are subject to a	nnuai revision.
TOTAL AMOUNT OF PAYMENT	(\$) 65.00

Complete if Known		
Application Number	09/899,029	
Filing Date	07/06/2001	
First Named Inventor	COTE	
Examiner Name		
Group Art Unit	3618	
Attorney Docket No.		

METHOD OF PATIMENT	FEE CALCULATION (Continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES Large Entity Small Entity			
Deposit Account	Fee Fee Fee Fee Fee Description	Fee Paid		
Number	105 130 205 65 Surcharge - late filing fee or oath	65		
Deposit Account Name	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification			
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination			
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
2. X Payment Enclosed:	113 1,840* 113 1,840* Requesting publication of SIR after			
X Check Credit card Money Other	Examiner action			
FEE CALCULATION	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month			
Large Entity Small Entity	117 890 217 445 Extension for reply within third month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,390 218 695 Extension for reply within fourth month			
101 710 201 355 Utility filing fee	128 1,890 228 945 Extension for reply within fifth month			
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal			
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding			
,	140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (1) (\$)	141 1,240 241 620 Petition to revive - unintentional			
2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143 440 243 220 Design issue fee			
Total Claims -20** = X =	144 600 244 300 Plant issue fee			
Independent - 3** = X = X	122 130 122 130 Petitions to the Commissioner			
Multiple Dependent =	123 50 123 50 Petitions related to provisional applications			
	126 240 126 240 Submission of Information Disclosure Stmt			
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)			
103 18 203 9 Claims in excess of 20	148 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)			
110 18 210 9 ** Reissue daims in excess of 20	169 900 169 900 Request for expedited examination			
and over original patent	of a design application Other fee (specify)			
SUBTOTAL (2) (\$)	·	5.00		
**or number previously paid, if greater; For Reissues, see above				
SUBMITTED BY Complete (if applicable)				
Name (Print/Type) Meera P. Narasimhan	Registration No. 40,252 Telephone (703) 4	42-4800		
Signature MM/avanum		001		

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/899.029

07/06/2001

Gary P. Cote

CONFIRMATION NO. 6055

FORMALITIES LETTER

. HERBER 186 HERBER 1865 BERKER 1865 B

OC000000006452248

James C. Wray Suite 300 1493 Chain Bridge Road McLean, VA 22101

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/29/2001 GTEFFERA 00000044 09899029

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